



March 14th, 2022

Subject: Conflict Minerals

To: Johnson Controls Supplier Partners

Requirements to Disclose

In response to violence and human rights violations in the mining of certain minerals from the Democratic Republic of the Congo (DRC) and surrounding countries (the “Covered Countries”), the U.S. Securities and Exchange Commission (SEC) adopted rules to implement reporting and disclosure requirements related to “conflict minerals,” as directed by the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. The rules require companies who file reports with the SEC to annually disclose due diligence measures taken to determine whether the products they manufacture or contract to manufacture contain “conflict minerals” that are “necessary to the functionality or production” of those products.

Definitions

The definition of “conflict minerals” refers to gold, as well as tin, tantalum, and tungsten, the derivatives of cassiterite, columbite-tantalite, and wolframite, regardless of where they are sourced, processed, or sold. The U.S. Secretary of State may designate other minerals in the future if it determines that such minerals are financing conflict in the Covered Countries.

In addition to the DRC, the “Covered Countries” are Angola, Burundi, Central African Republic, Congo Republic, Rwanda, South Sudan, Tanzania, Uganda, and Zambia.

We support the humanitarian goal of ending violent conflict in the Covered Countries. Therefore, we are committed to conducting our worldwide business operations in a manner that complies with applicable laws and regulations.

Our Expectations

We are committed to the responsible sourcing of “conflict minerals” throughout our supply chain. Therefore, we expect our suppliers to conduct due diligence on their respective supply chains and to assist us with our compliance with these rules.

We also expect our suppliers to provide information concerning any changes in or additions to required minerals in components and parts supplied to us. If you have not made changes in or

additions to required minerals in components and parts supplied to us in the last calendar year, you do not have to do anything. Conversely, if you have made any changes in or additions to required minerals in components and parts supplied to us in the calendar year, please undertake the following actions:

- Download a reporting form called the Conflict Minerals Report Template (CMRT) from <https://www.responsiblemineralsinitiative.org/reporting-templates/cmrt/> and send it to BE-Compliance@jci.com. On the Product List tab, list your part number for all parts you supply to Johnson Controls. You may submit multiple CMRTs if all the items you provide to us cannot be represented on one CMRT.
- Document all steps taken to collect and report conflict minerals information and preserve that documentation.

Collecting and reporting information related to conflict minerals is expected to take months, so prompt action is critical. Once you provide conflict minerals data, any next steps required to address concerns in the supply chain will be handled on a company-by-company basis.

I hope that you will provide your information in a timely manner so that any issues can be addressed. We appreciate your immediate attention to this matter.

Sincerely,

A handwritten signature in black ink that reads "Reginald K. Layton". The signature is written in a cursive style with a long horizontal flourish at the end.

Reginald K. Layton
Vice President, Supply Chain Sustainability